

**SEMINÁRIO DE LÓGICA MATEMÁTICA (SLM)**  
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$\partial$  is for Dialectica

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# Differentiable programming

A new area triggered by the advances of deep learning algorithms on neural networks, it tries to attach two very old domains:

- ▶ Algorithmic Differentiation.
- ▶  $\lambda$ -calculus.

**Goal:** Exploring modular way to express (algorithmic) differentiation in functional programming languages:

- ▶ Abadi & Plotkin, POPL20. (traces and big-step semantics)
- ▶ Brunel & Mazza & Pagani, POPL20, POPL21.
- ▶ Elliot, ICFP18, (compositional differentiation)
- ▶ Wang and al., ICFP 19, (delimited continuations)
- ▶ Interactions with probabilistic programming...

# The real inventor of deep learning



# Outline of the talk

1. Reverse differentiation and differentiable programming.
2. Dialectica acting on formulas.
3. Dialectica acting on  $\lambda$ -terms.
4. Factorizing Dialectica through differential linear logic.
5. Applications and related work.

# Automatic Differentiation

How does one compute the differentiation of an algebraic expression, computed as a sequence of elementary operations ?

$$\begin{array}{lll} \text{E.g. : } z = y + \cos(x^2) & x_1 = x_0^2 & x'_1 = 2x_0x'_0 \\ & x_2 = \cos(x_1) & x'_2 = -x'_0 \sin(x_0) \\ & z = y + x_2 & z' = y' + 2x_2x'_2 \end{array}$$

The computation of the final results requires the computation of the derivative of all partial computation. But in which order ?

**Forward Mode differentiation** [Wengert, 1964]

$$(x_1, x'_1) \rightarrow (x_2, x'_2) \rightarrow (z, z').$$

**Reverse Mode differentiation:** [Speelpenning, Rall, 1980s]

$$x_1 \rightarrow x_2 \rightarrow z \rightarrow z' \rightarrow x'_2 \rightarrow x'_1 \text{ while keeping formal the unknown derivative.}$$

# I hate graphs

$$D_u(f \circ g) = D_{g(u)}f \circ D_u(g)$$

► **Forward Mode differentiation :**

$$g(u) \rightarrow D_u g \rightarrow f(g(u)) \rightarrow D_{g(u)} f \rightarrow D_{g(u)} f \circ D_u(g).$$

► **Reverse Mode differentiation:**

$$g(u) \rightarrow f(g(u)) \rightarrow D_{g(u)} f \rightarrow D_u g \rightarrow D_{g(u)} f \circ D_u(g)$$

The choice of an algorithm is due to complexity considerations:

- Forward mode for  $f \circ g : \mathbb{R} \rightarrow \mathbb{R}^n$ .
- Reverse mode for  $f \circ g : \mathbb{R}^n \rightarrow \mathbb{R}$

↪ Differentiation is about *linearizing* a function/program. Some people have a very specific idea of what a *linear program* or a *linear type* should be.

## Idea: Reverse Differentials are contravariant

- ▶ **Forward Mode differentiation :**

$$h : A \Rightarrow B \rightsquigarrow \overrightarrow{D}h : A \Rightarrow A \multimap B.$$

- ▶ **Reverse Mode differentiation:**

$$h : A \Rightarrow B \rightsquigarrow \overleftarrow{D}h : A \Rightarrow B^\perp \multimap A^\perp.$$

## AD from a functorial point of view

How to make differentiation functorial ? Make it act on pairs !

$$f : E \Rightarrow F$$

**forward:**

$$\vec{D}(f) : \begin{cases} E \times E \rightarrow F \times F \\ (a, x) \mapsto (f(a), (D_a f \cdot x)) \end{cases}$$

**backward:**

$$\overleftarrow{D}(f) : \begin{cases} E \times F' \rightarrow F \times E' \\ (a, \ell) \mapsto (f(a), (\ell \circ D_a f)) \end{cases}$$

# Brunel, Mazza and Pagani [POPL2020]

## Key Idea

Reverse derivatives are typed by linear negation.

Consider  $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$  a function variable.

$$\overleftarrow{D}(f) : \begin{cases} \mathbb{R}^n \times \mathbb{R}^{m\perp} \rightarrow \mathbb{R}^m \times \mathbb{R}^{n\perp} \\ (a, x) \mapsto (f(a), (v \mapsto x \cdot (D_a f \cdot v))) \end{cases}$$

This leads to a **compositional reverse derivative** transformation over the *linear substitution calculus*, and proven complexity results.

$$\begin{aligned} A, B, C &::= R \mid A \times B \mid A \rightarrow B \mid R^{\perp_d} \\ t, u &::= x \mid x^! \mid \lambda x. t \mid (t)u \mid t[x^{(0)!} := u] \mid \langle t, u \rangle \mid t + u \dots \end{aligned}$$

# A Dialectica Transformation

- ▶ Gödel Dialectica transformation [1958] : a translation from intuitionistic arithmetic to a finite type extension of primitive recursive arithmetic.

$$A \rightsquigarrow \exists u : \mathbb{W}(A), \forall x : \mathbb{C}(A), A^D[u, x]$$

- ▶ De Paiva [1991]: the linearized Dialectica translation operates on Linear Logic (types) and  $\lambda$ -calculus (terms).
- ▶ Pedrot [2014] A *computational* Dialectica translation preserving  $\beta$ -equivalence, via the introduction of an "abstract multiset constructor" on types on the target.

## Gödel's Dialectica

1.  $(F \wedge G)' = (\exists yv) (zw) [A(y, z, x) \wedge B(v, w, u)].$
2.  $(F \vee G)' = (\exists yvt) (zw) [t=0 \wedge A(y, z, x) \vee t=1 \wedge B(v, w, u)].$
3.  $[(s) F]' = (\exists Y) (sz) A(Y(s), z, x).$
4.  $[(\exists s) F]' = (\exists sy) (z) A(y, z, x).$
5.  $(F \supset G)' = (\exists VZ) (yw) [A(y, Z(yw), x) \supset B(V(y), w, u)].$
6.  $(\neg F)' = (\exists \tilde{Z}) (y) \neg A(y, \tilde{Z}(y), x).$



Kurt Gödel (1958). Über eine bisher noch nicht benützte Erweiterung des finiten Standpunktes. *Dialectica*.

# Gödel's Dialectica

- ▶ Validates semi-classical axioms:
  - ▶ Markov's principle :  $\neg\neg\exists xA \rightarrow \exists xA$  when  $A$  is decidable.
  - ▶ Independent of premises :  $(A \rightarrow \exists xB) \rightarrow (\exists x.(A \rightarrow B))$
- ▶ Numerous applications :
  - ▶ Soundness results
  - ▶ Proof mining

A further distinguishing feature of the D-interpretation is its nice behavior with respect to modus ponens. In contrast to cut-elimination, which entails a global (and computationally infeasible) transformation of proofs, **the D-interpretation extracts constructive information through a purely local procedure**: when proofs of  $\varphi$  and  $\varphi \rightarrow \psi$  are combined to yield a proof of  $\psi$ , witnessing terms for the antecedents of this last inference are combined to yield a witnessing term for the conclusion. As a result of this modularity, the interpretation of a theorem can be readily obtained from the interpretations of the lemmata used in its proof.



Jeremy Avigad and Solomon Feferman (1999). Gödel's functional ("Dialectica") interpretation

## A peek into Dialectica interpretation of functions

$$(A \rightarrow B)_D = \exists fg \forall xy (A_D(x, gxy) \rightarrow B_D(fx, y))$$

**Usual explanation** : least unconstructive prenexation.

- ▶ Start from  $\exists x, \forall u, A_D[x, u] \rightarrow \exists y, \forall v, B_D[y, v]$ .
- ▶ Obvious prenexation :  $\forall x (\forall u, A_D[x, u] \rightarrow \exists y, \forall v, B_D[y, v])$
- ▶ Weak form of IP :  $\forall x \exists y (\forall u, A_D[x, u] \rightarrow \forall v, B_D[y, v])$
- ▶ Prenexation :  $\forall x \exists y, \forall v, \forall \neg \neg \exists u (A_D[x, u] \rightarrow B_D[y, v])$ .
- ▶ Markov :  $\forall x, \exists y, \forall v, \exists u (A_D[x, u] \rightarrow B_D[y, v])$
- ▶ Axiom of choice :  $\exists f, \exists g, \forall u, \forall v, (A_D(u, guv) \rightarrow B_D[fu, v])$ .

**Dynamic behaviour** : agrees to a chain rule.

Mathematical meaning : it's some kind of approximation.

## Dialectica verifies the chain rules

$$(A \Rightarrow B)_D[\phi_1; \psi_1, u_1; v_1] := A_D(u_1, \psi_1 u_1 v_1) \Rightarrow B_D(\phi_1 u_1, v_1)$$

$$(B \Rightarrow C)_D[\phi_2; \psi_2, u_2; v_2] := B_D(u_2, \psi_2 u_2 v_2) \Rightarrow C_D(\phi_2 u_2, v_2)$$

$$(A \Rightarrow C)_D[\phi_3; \psi_3, u_3; v_3] := A_D(u_3, \psi_3 u_3 v_3) \Rightarrow C_D(\phi_3 u_3, v_3)$$

The Dialectica interpretation amounts to the following equations:

$$u_3 = u_1$$

$$\psi_3 u_3 v_3 = \psi_1 u_1 v_1$$

$$v_3 = v_2$$

$$\phi_2 u_2 = \phi_1 u_1$$

$$u_2 = \phi_1 u_1$$

$$v_2 = \phi_1 u_1 v_1$$

which can be simplified to:

$$\phi_3 u_3 = \phi_2 (\phi_1 u_3) \text{ composition of functions}$$

$$\psi_3 u_3 v_3 = \psi_2 (\phi_1 u_3) (\psi_1 u_3 v_3) \text{ composition of their differentials}$$

# Types !

$$A \rightsquigarrow \exists \overbrace{x : \mathbb{W}(A)}^{\text{witness}}, \forall \underbrace{u : \mathbb{C}(A)}_{\text{opponent}}, A_D[x, u]$$

**Witness and counter types :**

$$\mathbb{C}(A \Rightarrow B) = \mathbb{C}(A) \times \mathbb{C}(B)$$

$$\mathbb{W}(A \Rightarrow B) = (\mathbb{W}(A) \Rightarrow \mathbb{W}(B)) \times (\mathbb{W}(A) \Rightarrow \mathbb{C}(B) \Rightarrow \mathbb{C}(A))$$

# Types !

$$A \rightsquigarrow \exists \overbrace{x : \mathbb{W}(A)}^{\text{global witness}}, \forall \underbrace{u : \mathbb{C}(A)}_{\text{local opponent}}, A_D[x, u]$$

**Witness and counter types :**

$$\mathbb{C}(A \Rightarrow B) = \mathbb{C}(A) \times \mathbb{C}(B)$$

$$\mathbb{W}(A \Rightarrow B) = \overbrace{(\mathbb{W}(A) \Rightarrow \mathbb{W}(B))}^{\text{function}} \times \left( \mathbb{W}(A) \Rightarrow \underbrace{\mathbb{C}(B) \Rightarrow \mathbb{C}(A)}_{\text{reverse derivative}} \right)$$

**Let's say  $x, u, f, g$  are  $\lambda$ -terms.**

## A reverse Differential $\lambda$ -calculus

"Behind every successful proof there is a program", *Gödel's wife*

# A computational Dialectica

Making Dialectica act on  $\lambda$ -terms instead of formulas:

An abstract multiset  $\mathfrak{M}(-)$

$$\begin{array}{c}
 \frac{}{\Gamma \vdash \emptyset : \mathfrak{M} A} \qquad \frac{\Gamma \vdash m_1 : \mathfrak{M} A \quad \Gamma \vdash m_2 : \mathfrak{M} A}{\Gamma \vdash m_1 \circledast m_2 : \mathfrak{M} A} \\
 \\
 \frac{\Gamma \vdash t : A}{\Gamma \vdash \{t\} : \mathfrak{M} A} \qquad \frac{\Gamma \vdash m : \mathfrak{M} A \quad \Gamma \vdash f : A \Rightarrow \mathfrak{M} B}{\Gamma \vdash m \gg= f : \mathfrak{M} B}
 \end{array}$$

$$\begin{aligned}
 \mathbb{W}(A \Rightarrow B) &:= (\mathbb{W}(A) \Rightarrow \mathbb{W}(B)) \\
 &\quad \times (\mathbb{C}(B) \Rightarrow \mathbb{W}(A) \Rightarrow \mathfrak{M} \mathbb{C}(A)) \\
 \mathbb{C}(A \Rightarrow B) &:= \mathbb{W}(A) \times \mathbb{C}(B)
 \end{aligned}$$

# Pédrot's Dialectica Transformation

## Soundness [Ped14]

If  $\Gamma \vdash t : A$  in the source then we have in the target

- ▶  $\mathbb{W}(\Gamma) \vdash t^\bullet : \mathbb{W}(A)$
- ▶  $\mathbb{W}(\Gamma) \vdash t_x : \mathbb{C}(A) \Rightarrow \mathfrak{M} \mathbb{C}(X)$  provided  $x : X \in \Gamma$ .

## A global and a local transformation

$$\begin{array}{ll} x^\bullet & := x & (\lambda x. t)^\bullet & := (\lambda x. t^\bullet, \lambda \pi x. t_x \pi) \\ x_x & := \lambda \pi. \{\pi\} & (\lambda x. t)_y & := \lambda \pi. (\lambda x. t_y) \pi.1 \pi.2 \\ x_y & := \lambda \pi. \emptyset \text{ if } x \neq y & (t u)^\bullet & := (t^\bullet.1) u^\bullet \end{array}$$

$$(t u)_y := \lambda \pi. (t_y (u^\bullet, \pi)) \circledast ((t^\bullet.2) \pi u^\bullet \gg= u_y)$$

## Flashback: Differential $\lambda$ -calculus [Ehrhard, Regnier 04]

Inspired by denotational models of Linear Logic in vector spaces of sequences, it introduces a differentiation of  $\lambda$ -terms.

*$D(\lambda x.t)$  is the linearization of  $\lambda x.t$ , it substitute  $x$  linearly, and then it remains a term  $t'$  where  $x$  is free.*

Syntax:

$$\begin{aligned}\Lambda^d : S, T, U, V &::= 0 \mid s \mid s + T \\ \Lambda^s : s, t, u, v &::= x \mid \lambda x.s \mid sT \mid \mathbf{D}s \cdot t\end{aligned}$$

Operational Semantics:

$$\begin{aligned}(\lambda x.s)T &\rightarrow_{\beta} s[T/x] \\ \mathbf{D}(\lambda x.s) \cdot t &\rightarrow_{\beta_D} \lambda x. \frac{\partial s}{\partial x} \cdot t\end{aligned}$$

where  $\frac{\partial s}{\partial x} \cdot t$  is the **linear substitution** of  $x$  by  $t$  in  $s$ .

# Linearity in Linear Logic

**Linearity is about resources:** A proof/program is *linear* iff it uses only once its hypotheses/argument.

Linear	Non-linear
$A \vdash A \vee B$	$A \vdash A \wedge A$
$\lambda f \lambda x. fxx$	$\lambda x. \lambda f. fxx$

Usual Implication

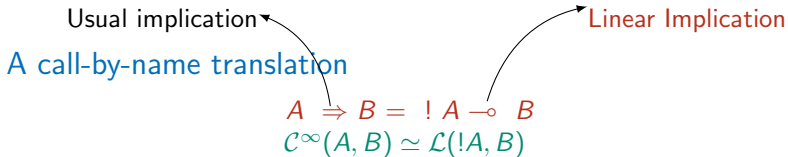
A call-by-name translation

$$A \Rightarrow B = !A \multimap B$$
$$\mathcal{C}^\infty(A, B) \simeq \mathcal{L}(!A, B)$$

## Linearity in Linear Logic

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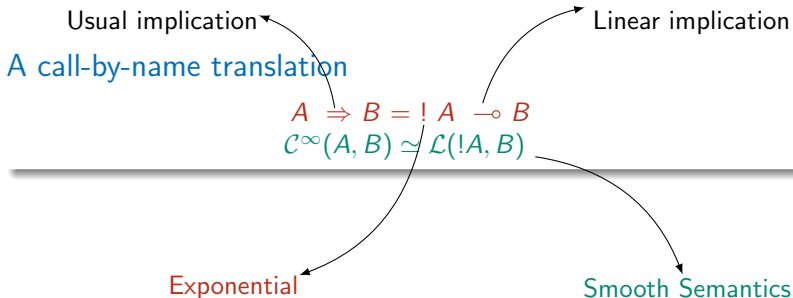
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# Linearity in Linear Logic

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## The linear substitution ...

... which is not exactly a substitution

$$\frac{\partial y}{\partial x} \cdot T = \begin{cases} T & \text{if } x = y \\ 0 & \text{otherwise} \end{cases} \quad \frac{\partial}{\partial x}(sU) \cdot T = \left(\frac{\partial s}{\partial x} \cdot T\right)U + (Ds \cdot \left(\frac{\partial U}{\partial x} \cdot T\right))U$$

$$\frac{\partial}{\partial x}(\lambda y.s) \cdot T = \lambda y. \frac{\partial s}{\partial x} \cdot T \quad \frac{\partial}{\partial x}(Ds \cdot u) \cdot T = D\left(\frac{\partial s}{\partial x} \cdot T\right) \cdot u + Ds \cdot \left(\frac{\partial u}{\partial x} \cdot T\right)$$

$$\frac{\partial 0}{\partial x} \cdot T = 0 \quad \frac{\partial}{\partial x}(s + U) \cdot T = \frac{\partial s}{\partial x} \cdot T + \frac{\partial U}{\partial x} \cdot T$$

$\frac{\partial s}{\partial x} \cdot t$  represents  $s$  where  $x$  is linearly (i.e. one time) substituted by  $t$ .

# Tracking differentiation in Dialectica

7 years ago : "That's Differential  $\lambda$ -calculus"

$$\begin{array}{ll}
 x_x & := \lambda\pi. \{\pi\} & x^\bullet & := x \\
 x_y & := \lambda\pi. \emptyset \quad \text{if } x \neq y & (\lambda x. t)^\bullet & := (\lambda x. t^\bullet, \lambda x\pi. t_x \pi) \\
 (\lambda x. t)_y & := \lambda\pi. (\lambda x. t_y) \pi.1 \pi.2 & (t \ u)^\bullet & := (t^\bullet.1) u^\bullet
 \end{array}$$

$$(t \ u)_y := \lambda\pi. (t_y (u^\bullet, \pi)) \otimes ((t^\bullet.2) u^\bullet \pi \ggg u_y)$$

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$$\begin{array}{ll}
 x_x & := \lambda\pi. \frac{\partial x}{\partial x} \cdot \pi & x^\bullet & := x \\
 x_y & := \lambda\pi. \frac{\partial x}{\partial y} \cdot \pi \quad \text{if } x \neq y & (\lambda x. t)^\bullet & := (\lambda x. t^\bullet, \lambda x\pi. t_x \pi) \\
 (\lambda x. t)_y & := \lambda\pi. (\lambda x. t_y) \pi.1 \pi.2 & (t \ u)^\bullet & := \equiv (\lambda x. (tx)^\bullet) u^\bullet
 \end{array}$$

$$(t \ u)_y := \lambda\pi. (t_y (u^\bullet, \pi)) \circledast ((t^\bullet.2) u^\bullet \pi \ggg u_y)$$

3 years ago : That's reverse differentiation

- ▶  $(-)^{\bullet.2}$  obeys the chain rule,  $(-)^{\bullet}$  is the functorial differentiation.
- ▶  $t_x$  is contravariant in  $x$ .

# Tracking differentiation in Dialectica

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 x_x & := \lambda\pi. \frac{\partial x}{\partial x} \cdot \pi & x^\bullet & := x \\
 x_y & := \lambda\pi. \frac{\partial x}{\partial y} \cdot \pi \quad \text{if } x \neq y & (\lambda x. t)^\bullet & := (\lambda x. t^\bullet, \lambda x\pi. t_x \pi) \\
 (\lambda x. t)_y & := \lambda\pi. (\lambda x. t_y) \pi.1 \pi.2 & (t \ u)^\bullet & \equiv (\lambda x. (tx)^\bullet) u^\bullet
 \end{array}$$

3 years ago : That's reverse differentiation

- ▶  $(-)^\bullet$  obeys the chain rule,  $(-)^\bullet$  is the functorial differentiation.
- ▶  $t_x$  is contravariant in  $x$ .

$$[[u \gg t_x]] \equiv \lambda z. ([u]) \left( \frac{\partial t}{\partial x} \cdot z \right)$$

up to the linearity of  $[[u]]$ , IRL we make use of two logical relations

# Dialectica is reverse differential $\lambda$ -calculus

where the linearity of counter terms is not enforced.

## Two logical relations : the arrow case

$$\begin{aligned} t \sim_{A \rightarrow B} T &:= \forall u \sim_A U. \quad (t.1 \ u) \sim_B (T \ U) \\ &\quad \wedge \quad (t.2 \ u) \boxtimes_B^A (\lambda z. (DT \cdot z) \ U) \\ t \boxtimes_{A \rightarrow B}^X T &:= \forall u \sim_A U. \quad \lambda \pi. t \ (u, \pi) \boxtimes_B^X (\lambda z. T \ z \ U) \end{aligned}$$

## Theorem

If  $\Gamma \vdash t : A$  is a simply-typed  $\lambda$ -term, then

- ▶ for all  $\vec{r} \sim_\Gamma \vec{R}$ ,  $t^\bullet\{\Gamma \leftarrow \vec{r}\} \sim_A t\{\Gamma \leftarrow \vec{R}\}$ ,
- ▶ and for all  $\vec{r} \sim_\Gamma \vec{R}$  and  $x : X \in \Gamma$ ,

$$t_x\{\Gamma \leftarrow \vec{r}\} \boxtimes_A^X \lambda z. \left( \frac{\partial t}{\partial x} \cdot z \right) \{\Gamma \leftarrow \vec{R}\}.$$

## A Linear Logic Refinement

# Differential Linear Logic

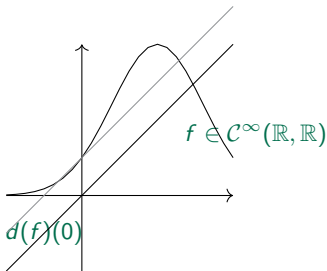
$$\frac{\vdash \ell : A \multimap B}{\vdash \ell : !A \multimap B} \textcolor{red}{d}$$

A linear proof

is in particular non-linear.

$$\frac{\vdash f : !A \multimap B}{\vdash D_0 f : A \multimap B} \textcolor{red}{\bar{d}}$$

*From a non-linear proof  
we can extract a linear proof*



*Differential interaction nets*, Ehrhard and Regnier, TCS (2006)

## Exponential rules of Differential Linear Logic

$$\frac{\Gamma \vdash B}{\Gamma, !A \vdash B} w$$

$$\frac{\Gamma, !A, !A \vdash B}{\Gamma, !A \vdash B} c$$

$$\frac{\Gamma, A \vdash B}{\Gamma, !A \vdash B} d$$

$$\frac{}{\vdash !A} \bar{w}$$

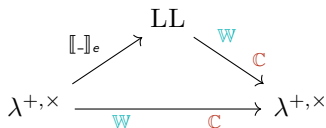
$$\frac{\Gamma \vdash !A \quad \Delta \vdash !A}{\Gamma, \Delta, \vdash !A} \bar{c}$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash !A} \bar{d}$$

$$\frac{? \Gamma \vdash A}{? \Gamma \vdash !A} p$$

# Dialectica factorizes through Linear Logic

$$\begin{array}{ll}
 \mathbb{W}(A^\perp) & := \mathbb{C}(A) & \mathbb{C}(A^\perp) & := \mathbb{W}(A) \\
 \mathbb{W}(A \oplus B) & := \mathbb{W}(A) + \mathbb{W}(B) & \mathbb{C}(A \oplus B) & := \mathbb{C}(A) \times \mathbb{C}(B) \\
 \mathbb{W}(!A) & := \mathbb{W}(A) & \mathbb{C}(!A) & := \mathbb{W}(A) \Rightarrow \mathbb{C}(A) \\
 \\ 
 \mathbb{W}(A \otimes B) & := \mathbb{W}(A) \times \mathbb{W}(B) \\
 \mathbb{C}(A \otimes B) & := (\mathbb{W}(A) \Rightarrow \mathbb{C}(B)) \times (\mathbb{W}(B) \Rightarrow \mathbb{C}(A))
 \end{array}$$



Valeria de Paiva, 1989, A dialectica-like model of linear logic.

# Dialectica factorizes through Differential Linear Logic

$$\begin{aligned}
 \mathbb{W}(!A) &:= !\mathbb{W}(A) & \mathbb{C}(!A) &:= !\mathbb{W}(A) \multimap \mathbb{C}(A) \\
 \mathbb{W}(A \otimes B) &:= \mathbb{W}(A) \otimes \mathbb{W}(B) \\
 \mathbb{C}(A \otimes B) &:= (\mathbb{W}(A) \multimap \mathbb{C}(B)) \oplus (\mathbb{W}(B) \multimap \mathbb{C}(A)) \\
 \mathbb{W}(A \multimap B) &:= (\mathbb{W}(A) \multimap \mathbb{W}(B)) \& (\mathbb{C}(B) \multimap \mathbb{C}(A)) \\
 \mathbb{C}(A \multimap B) &:= \mathbb{W}(A) \otimes \mathbb{C}(B)
 \end{aligned}$$

If  $\Gamma \vdash A$  in LL, then  $\mathbb{W}(\Gamma) \vdash \mathbb{W}(A)$  in classical DiLL.

$$\frac{
 \frac{
 \frac{}{\vdash A, A^\perp} \text{ax}
 }{\vdash A, !A^\perp} \bar{d}
 \quad
 \frac{}{\vdash ?A, !A^\perp} \text{ax}
 }{\vdash ?A, A, !A^\perp} \bar{c}
 \quad
 \frac{}{\Gamma \vdash ?A} \pi
 }{\Gamma \vdash ?A, A} \text{cut}$$

# Dialectica factorizes through Differential Linear Logic

## The economical translation

$$\llbracket A \Rightarrow B \rrbracket_e := !A \multimap B$$

$$\llbracket A \times B \rrbracket_e := A \& B$$

$$\llbracket A + B \rrbracket_e := A \oplus B$$

$$\begin{array}{ccc} \text{ILL} & \xrightarrow[\text{C}]{\text{W}} & \text{IDiLL} \\ \llbracket - \rrbracket_e \uparrow & & \downarrow \dots \\ \lambda^{+, \times} & \xrightarrow[\text{C}]{\text{W}} & \lambda^{+, \times} \end{array}$$

**IDILL : Intuitionnistic Differential Linear Logic ? Oh no ...**

# Dialectica categories through Differential Categories

## Categories representing specific relations

Consider a category  $\mathcal{C}$ . **Dial**( $\mathcal{C}$ ) is constructed as follows:

- ▶ Objects : relations  $\alpha \subseteq U \times X, \beta \subseteq V \times Y$ .
- ▶ Maps from  $\alpha$  to  $\beta$  :

$$(f : U \rightarrow V, F : U \times Y \rightarrow X)$$

- ▶ Composition : the chain rule !

Consider

$$\begin{array}{l} (f, F) : \alpha \subseteq (A, X) \rightarrow \beta \subseteq (B, Y) \\ \text{and } (g, G) : \beta \subseteq (B, Y) \rightarrow \gamma \subseteq (C, Z) \end{array}$$

two arrows of the Dialectica category. Then their composition is defined as

$$(g, G) \circ (f, F) := (g \circ f, (a, z) \mapsto G(f(a), F(a, z))).$$

## Dialectica categories through Differential Categories

In a  $*$ -autonomous differential category :

$$\partial : Id \otimes ! \rightarrow !$$

$$\mathcal{L}(B \otimes A, C^\perp) \simeq \mathcal{L}(A, (B \otimes C)^\perp)$$

From  $f : !A \rightarrow B$  one constructs :

$$\overleftarrow{D}(f) \in \mathcal{L}(!A \otimes B^\perp, A^\perp).$$

### Dialectica categories factorize through differential categories

If  $\mathcal{L}$  is a model of DiLL such that  $\mathcal{L}_!$  has finite limits:

$$\left\{ \begin{array}{lll} \mathcal{L}_! & \rightarrow & \mathcal{D}(\mathcal{L}_!) \\ A & \mapsto & A \times A^\perp \\ f & \mapsto & (f, \overleftarrow{D}(f)) \end{array} \right.$$

*To be declined in reverse/cartesian differential categories...*

## Conclusion and applications

## Take home message:

**Dialectica is functorial reverse differentiation,**  
extracting ~~intensional~~ local content from proofs.

## Related work and applications:

- ▶ Semantics : Ehrhard's differentiation without sums.
- ▶ Markov's principle and delimited continuations on positive formulas.
- ▶ Proof mining and backpropagation.

# Ehrhard's differentiation without sums

**Content.** We base our approach on a concept of summable pair that we axiomatize as a general categorical notion in Section 2: a *summable category* is a category  $\mathcal{L}$  with 0-morphisms<sup>1</sup> together with a functor  $\mathbf{S} : \mathcal{L} \rightarrow \mathcal{L}$  equipped with three natural transformations from  $\mathbf{S}X$  to  $X$ : two projections and a sum operation. The first projection also exists in the “tangent bundle” functor of a tangent category but the two other morphisms do not. Such a summability structure induces a monad structure on  $\mathbf{S}$  (a similar phenomenon occurs in tangent categories). In Section 3 we consider the case where the category is a cartesian SMC equipped with a resource comonad  $!_-$  in the sense of LL where we present differentiation as a distributive law between the monad  $\mathbf{S}$  and the comonad  $!_-$ . This allows to extend  $\mathbf{S}$  to a strong monad  $\mathbf{D}$  on the Kleisli category  $\mathcal{L}_!$  which implements differentiation of non-linear maps. In Section 4 we study the case where the functor  $\mathbf{S}$  can be defined using a more basic structure of  $\mathcal{L}$  based on the object  $1 \& 1$  where  $\&$  is the cartesian product and  $1$  is the unit of  $\otimes$ : this is actually what happens in



Thomas Ehrhard. Coherent differentiation. 2021

# Dialectica is differentiation ...

... We knew it already !

*The codereliction of differential proof nets:* In terms of polarity in linear logic [23], the  $\forall \multimap$ -free constraint characterizes the formulas of intuitionistic logic that can be built only from positive connectives ( $\oplus$ ,  $\otimes$ ,  $0$ ,  $1$ ,  $!$ ) and the why-not connective (“?”). In this framework, Markov’s principle expresses that from such a  $\forall \multimap$ -free formula  $A$  (e.g.  $? \oplus_x (?A(x) \otimes ?B(x))$ ) where the presence of “?” indicates that the proof possibly used weakening (efq or throw) or contraction (catch), a linear proof of  $A$  purged from the occurrences of its “?” connective can be extracted (meaning for the example above a proof of  $\oplus_x (A(x) \otimes B(x))$ ). Interestingly, the removal of the “?”, i.e. the steps from  $?P$  to  $P$ , correspond to applying the codereliction rule of differential proof nets [24].

**Differentiation :**  $(?P = (P \multimap \perp) \Rightarrow \perp) \rightarrow ((P \multimap \perp) \multimap \perp) \equiv P$



Hugo Herbelin, “An intuitionistic logic that proves Markov’s principle”, LICS '10 .

# Differentiation and delimited continuations

## Herbelin Lics'10

Markov's principle is proved by allowing catch and throw operations on hereditary positive formulas.

$$\begin{array}{c}
 \frac{}{a : \neg\neg T \vdash_{\alpha:T} a : \neg\neg T} \text{AXIOM} \quad \frac{\frac{\frac{}{b : T \vdash_{\alpha:T} b : T} \text{AXIOM}}{b : T \vdash_{\alpha:T} \text{throw}_{\alpha} b : \perp} \text{THROW}}{\vdash_{\alpha:T} \lambda b. \text{throw}_{\alpha} b : \neg T} \rightarrow_I \\
 \hline
 \frac{}{a : \neg\neg T \vdash_{\alpha:T} a : \neg\neg T} \text{AXIOM} \quad \frac{\frac{\frac{}{a : \neg\neg T \vdash_{\alpha:T} a : \neg\neg T} \text{AXIOM}}{a : \neg\neg T \vdash_{\alpha:T} a (\lambda b. \text{throw}_{\alpha} b) : \perp} \perp_E}{a : \neg\neg T \vdash_{\alpha:T} \text{efq } a (\lambda b. \text{throw}_{\alpha} b) : T} \rightarrow_E \\
 \hline
 \frac{}{a : \neg\neg T \vdash_{\alpha:T} \text{efq } a (\lambda b. \text{throw}_{\alpha} b) : T} \text{CATCH} \\
 \hline
 \vdash \lambda a. \text{catch}_{\alpha} \text{efq } a (\lambda b. \text{throw}_{\alpha} b) : \neg\neg T \rightarrow T \quad \rightarrow_I
 \end{array}$$

Figure 3. Proof of *MP*

# Proof Mining

## Extracting quantitative information from proofs.

Effective moduli from ineffective uniqueness proofs. An unwinding of de La Vallée Poussin's proof for Chebycheff approximation\*

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### Abstract

We consider uniqueness theorems in classical analysis having the form

$$(+)\ \forall u \in U, v_1, v_2 \in V_u (G(u, v_1) = 0 = G(u, v_2) \rightarrow v_1 = v_2),$$

where  $U, V$  are complete separable metric spaces,  $V_u$  is compact in  $V$  and  $G : U \times V \rightarrow \mathbb{R}$  is a constructive function.

If  $(+)$  is proved by arithmetical means from analytical assumptions

$$(++)\ \forall x \in X \exists y \in Y_x \forall z \in Z (F(x, y, z) = 0)$$

only (where  $X, Y, Z$  are complete separable metric spaces,  $Y_x \subset Y$  is compact and

$F : X \times Y \times Z \rightarrow \mathbb{R}$  constructive), then we can extract from the proof of  $(++) \rightarrow (+)$  an effective modulus of uniqueness, i.e.

$$(+++) \ \forall u \in U, v_1, v_2 \in V_u, k \in \mathbb{N} (|G(u, v_1)|, |G(u, v_2)| \leq 2^{-\Phi u k} \rightarrow d_V(v_1, v_2) \leq 2^{-k}).$$

Differentiate the function  $(\epsilon \rightarrow \eta)$  in :

$$\forall u, v_1, v_2, \forall \epsilon > 0, \exists \eta > 0, \|G(u, v_1) - G(u, v_2)\| < \eta \rightarrow d_V(v_1, v_2) < \epsilon\|$$