

# Self-assembled Tilings

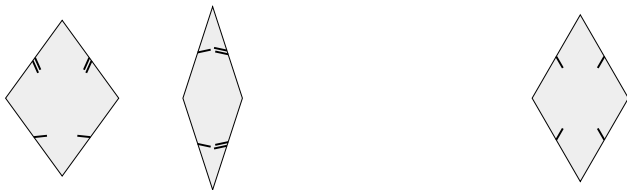
Thomas Fernique

Moscow, Spring 2011

- 1 Self-assembly
- 2 Forced self-assembly
- 3 Defects as seeds
- 4 Weighted self-assembly

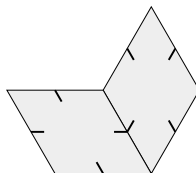
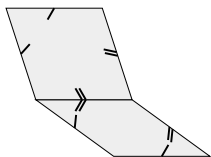
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# Principle



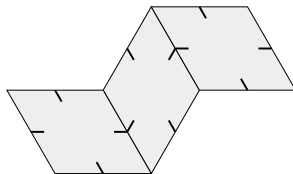
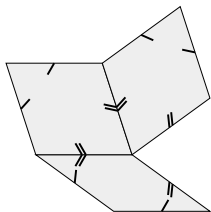
Add one tile at time, with matching rules being satisfied.  
Physically: minimize the free energy  $F = E - TS$  at  $T = 0$ .

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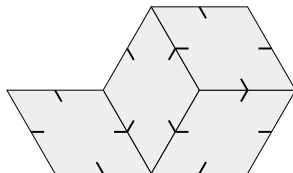
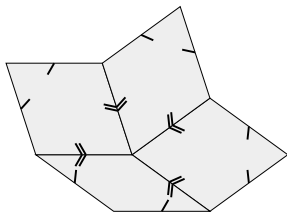
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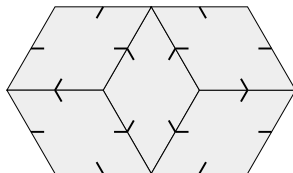
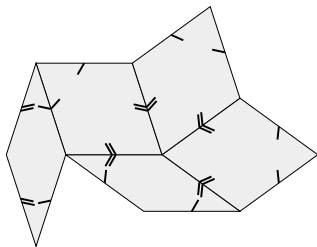
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# Deceptions

Fix a tile set  $\tau$ . A  $\tau$ -patch is *correct* if it appears in some  $\tau$ -tiling.

## Definition (Deception)

A *deception of order  $r$*  is a  $\tau$ -patch homeomorphic to a closed ball, with only correct size  $r$  subpatches, but which is itself not correct.

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## Theorem (Dworkin-Shieh, 1995)

*An aperiodic plane tile set has deceptions of arbitrarily large order.*

Proof (by contradiction):

Assume that  $r$  bounds the order of deceptions. We make 3 steps.

## Step 1: remind quasiperiodicity

### Definition (Quasiperiodic tiling)

A tiling is *quasiperiodic* if, for any  $r > 0$ , there is  $R > 0$  such that any patch of size  $r$  appears in any patch of size  $R$ .

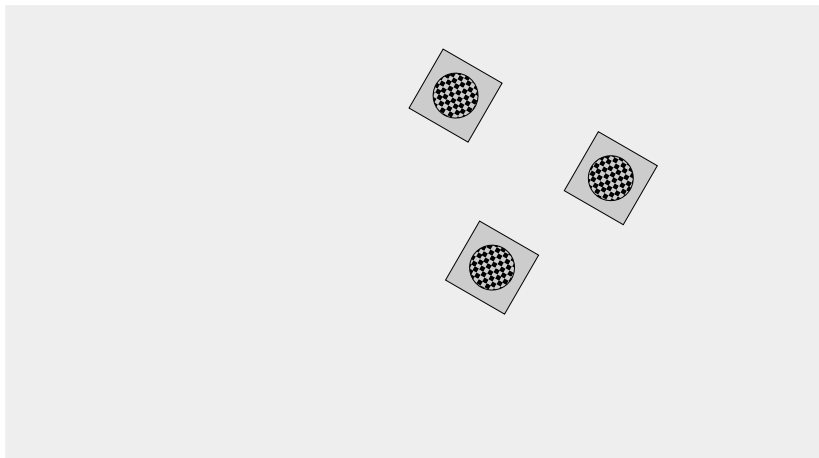
### Theorem (Birkhoff, 1912)

*If a tile set admits a tiling, then it admits a quasiperiodic tiling.*

Proof (following Durand, 1998):

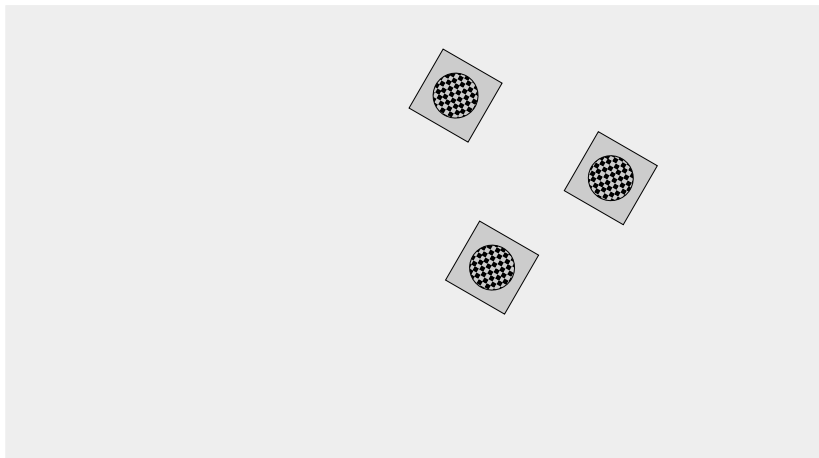
- write  $T' \prec T$  if any finite (sub)patch of  $T'$  appears in  $T$ ;
- show that the minimal tilings for  $\prec$  are the quasiperiodic ones;
- $f(T) := \arg \min(T' \mapsto \inf\{\text{Diam}(P) \mid P \not\prec T' \prec T, P \prec T\})$ ;
- diagonal extraction on  $(f^n(T))_{n \geq 0} \rightsquigarrow$  quasiperiodic tiling.

## Step 2: find three siblings



We want a tiling with three patches containing a ball of radius  $r$ , which are equal up to translation and not aligned (*siblings*).

## Step 2: find three siblings



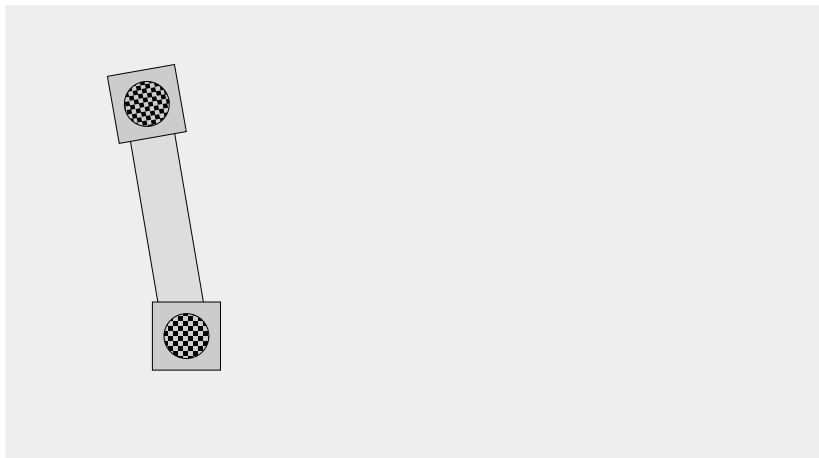
Quasiperiodic tiling  $\rightsquigarrow$  patches equal up to isometries everywhere.  
This suffices if tiles can take only finitely many different orientations.

## Step 2: find three siblings



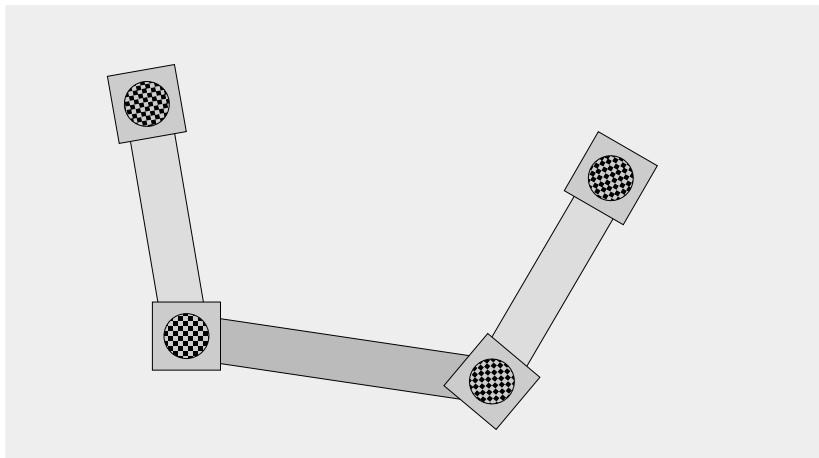
In any case, some tiling has two patches equal up to an isometry.

## Step 2: find three siblings



In this tiling, link these patches by a “bone” of diameter  $r$ .  
This form a new patch which appears everywhere up to an isometry.

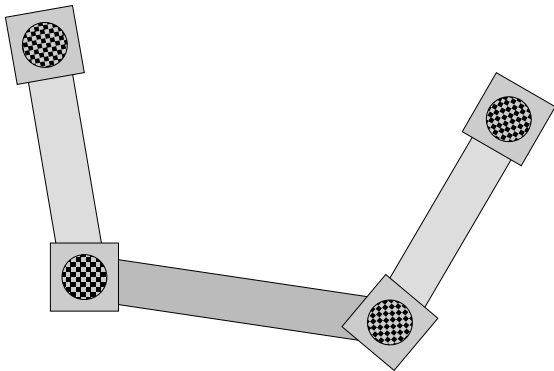
## Step 2: find three siblings



In the tiling, link two such occurrences by a new bone (of diameter  $r$ ).

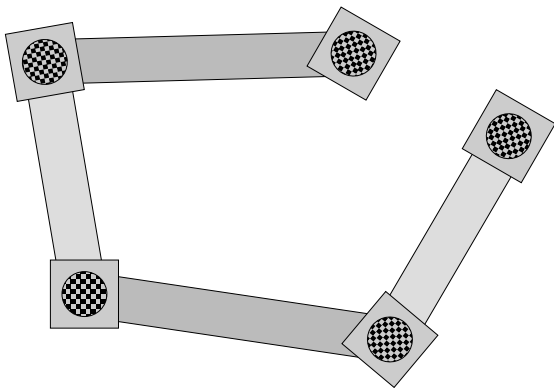


## Step 2: find three siblings



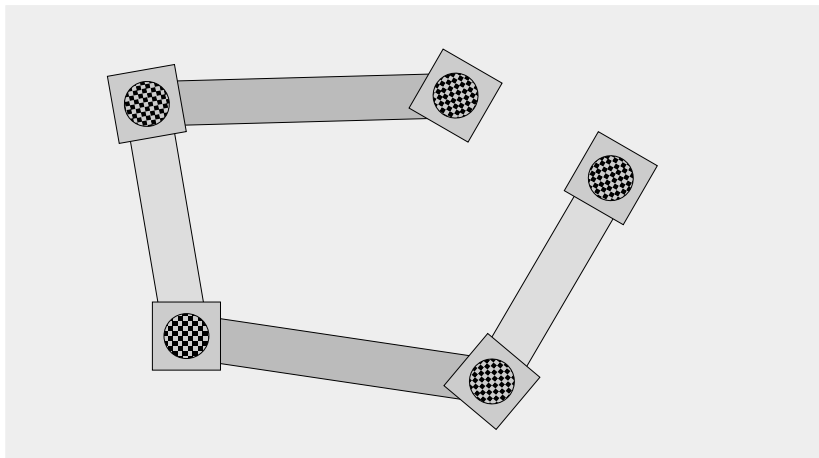
This form a new patch. Let us forget the tiling where it appears.

## Step 2: find three siblings



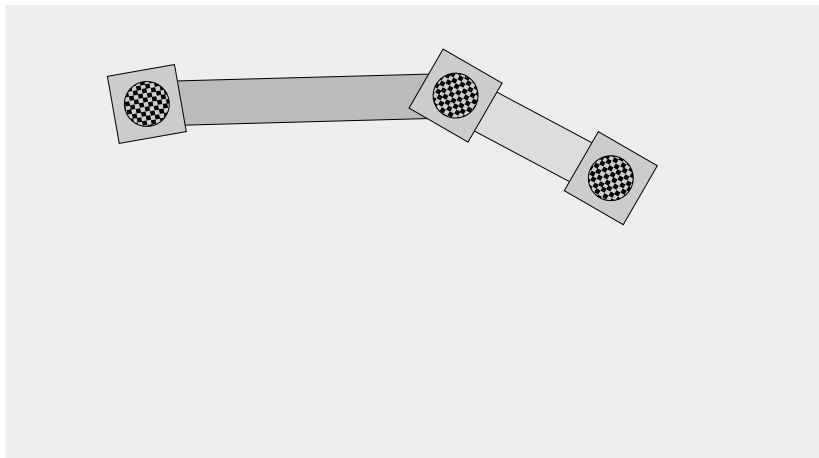
The new bone and its “patella” can be duplicated without creating incorrect subpatches of diameter  $r$  (for a thick enough “cartilage”).

## Step 2: find three siblings



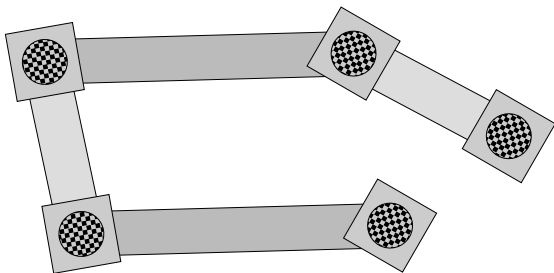
No deceptions of order  $r \rightsquigarrow$  this new patch appears in some tiling.

## Step 2: find three siblings



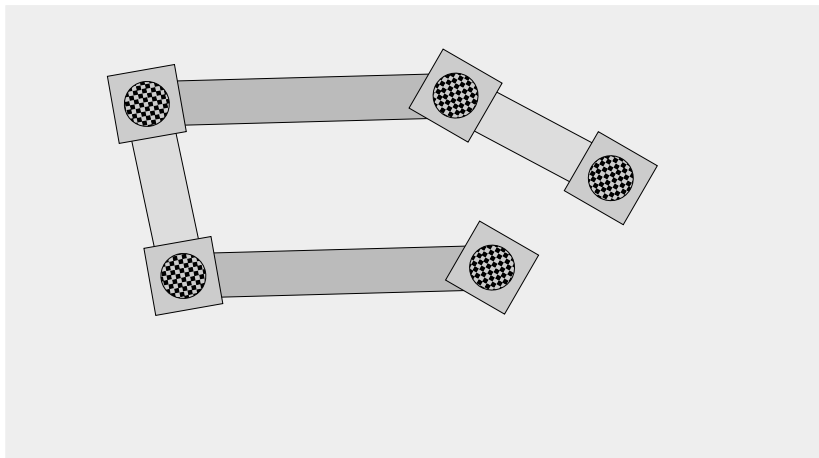
Forget some bones and patellae, link the two siblings by a bone.

## Step 2: find three siblings



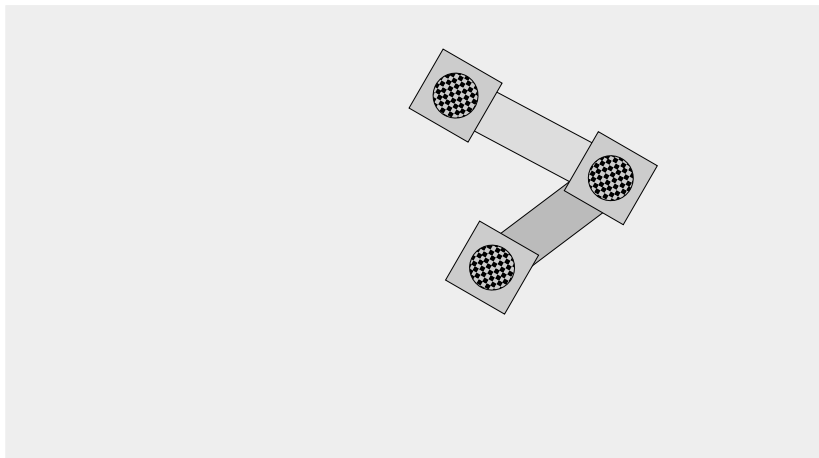
Forget the tiling. The patch can be extended without creating incorrect subpatches of diameter  $r$ , so that it contains three siblings.

## Step 2: find three siblings



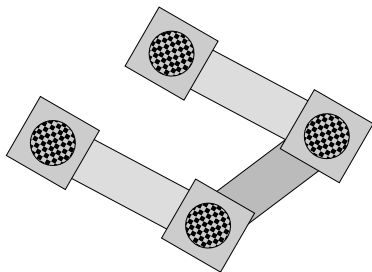
No deceptions of order  $r \rightsquigarrow$  this new patch appears in some tiling.

## Step 3: build a periodic tiling



Consider these three siblings, with two bones linking them.

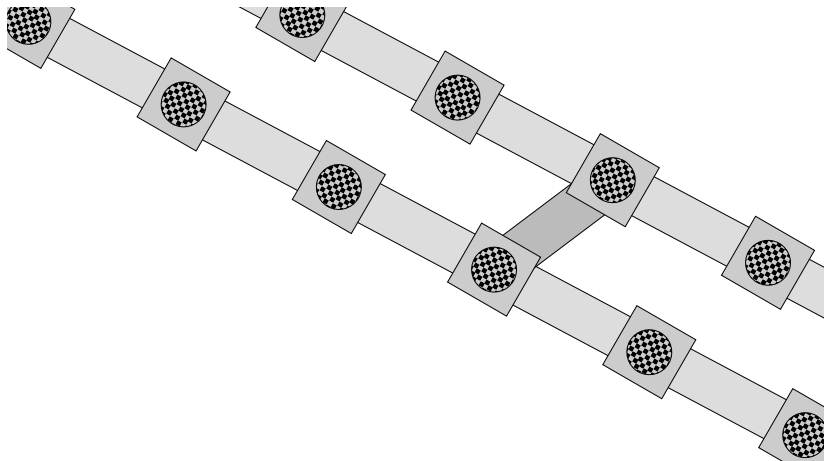
## Step 3: build a periodic tiling



Forget the tiling, extend the patch without incorrect subpatches.

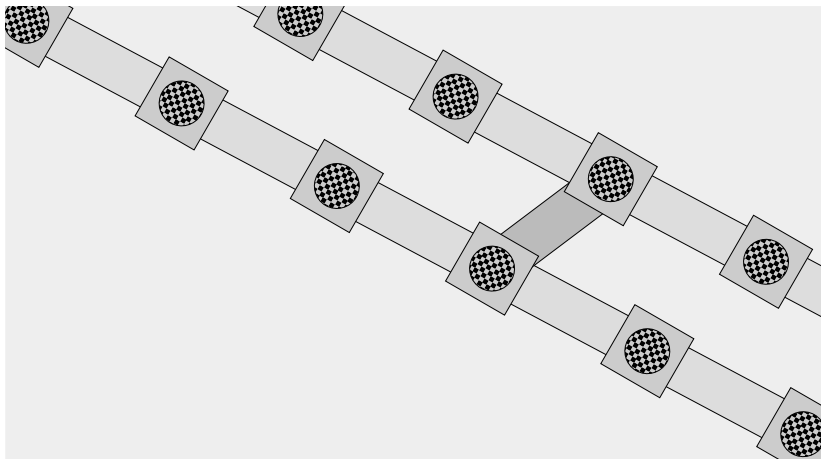


## Step 3: build a periodic tiling



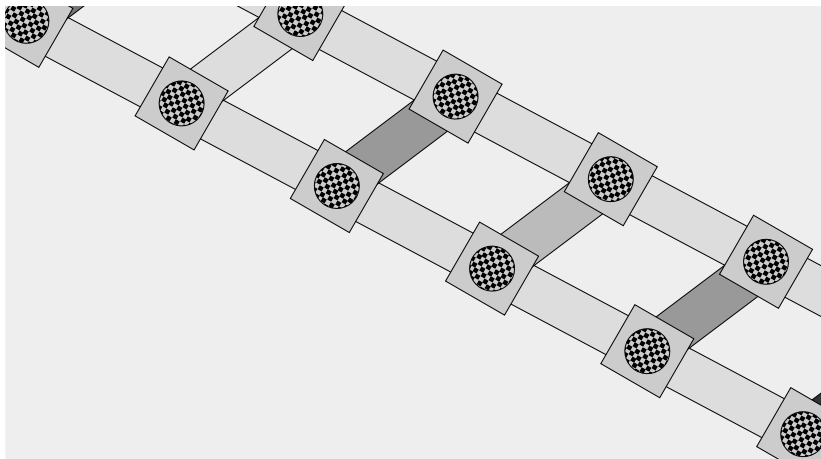
Extend further to form a sufficiently stretched  $H$ -shaped patch.

## Step 3: build a periodic tiling



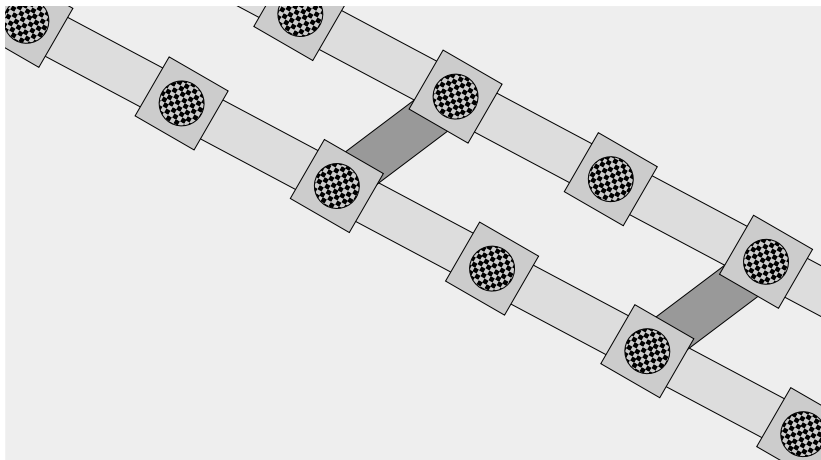
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## Step 3: build a periodic tiling



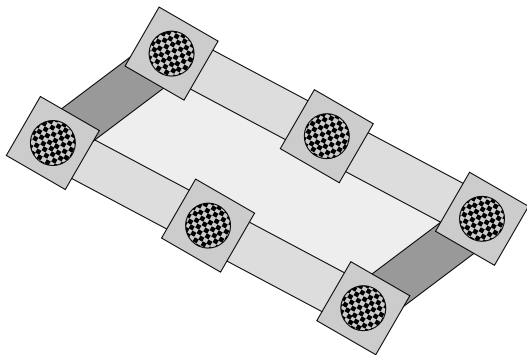
Link patellae by parallel bones  $\rightsquigarrow$  rungs of a ladder-shaped patch.

## Step 3: build a periodic tiling



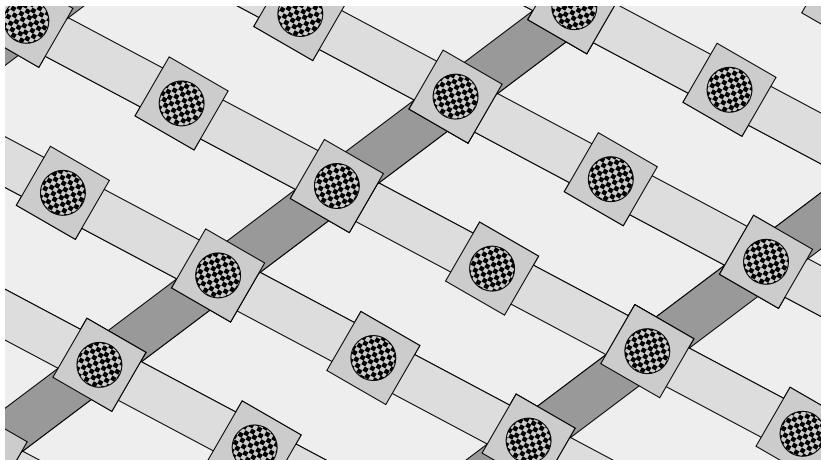
Stretched enough  $H$ -shaped patch  $\rightsquigarrow$  two identical rungs.

## Step 3: build a periodic tiling



This forms a patch which periodically tiles  $\rightsquigarrow$  wanted contradiction!

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## Some comments

If deceptions can have **holes** and tiles have **finitely many** different orientations, then the proof is much **simpler** (exercice).

In the previous proof, deceptions are **very artificial** (stretched  $H$ ).  
What if deceptions are assumed to be, *e.g.*, (roughly) **convex**?

Which **proportion** of the patches of a given size are deceptions?

Can we play with the **order** tiles are added to **avoid** deceptions?

- 1 Self-assembly
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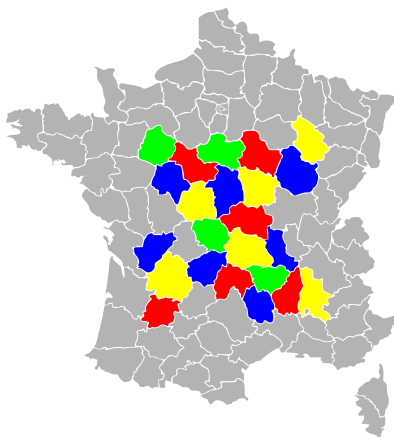


# Let's play!



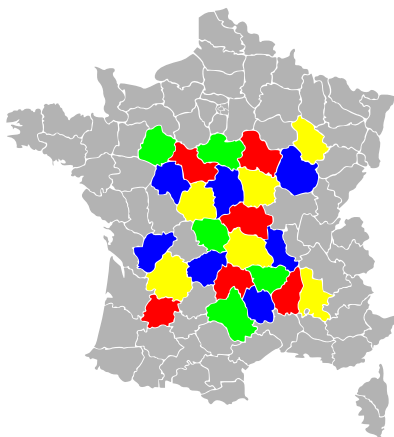
How to color french *departements* with only four different colors?

# Let's play!



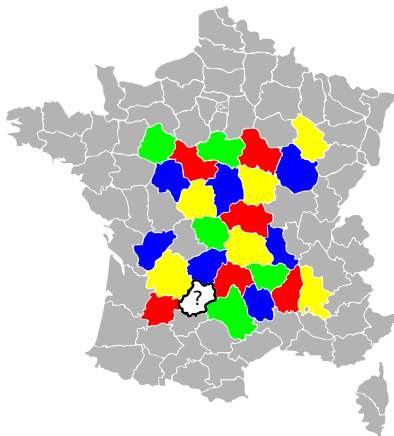
Assume some *departements* have already been colored.

# Let's play!



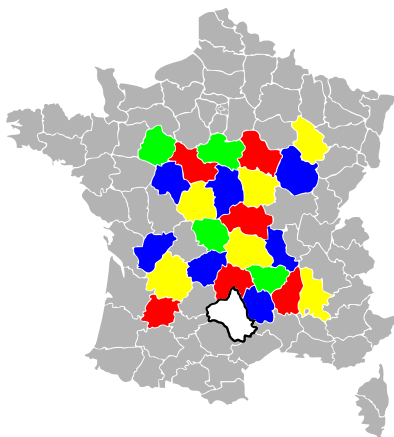
Let us choose, e.g., green for Aveyron.

# Let's play!



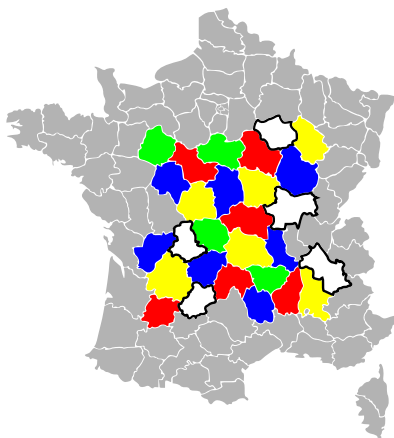
No more free color for Lot!

# Let's play!



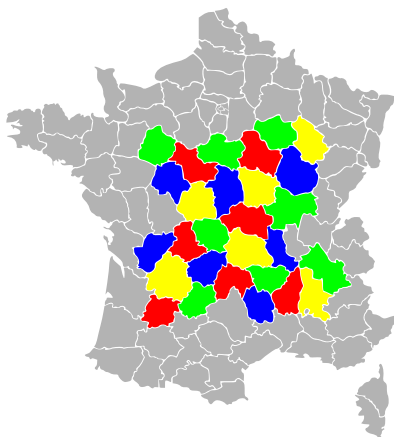
Aveyron can be green or yellow  $\rightsquigarrow$  choice  $\rightsquigarrow$  risk!

# Let's play!



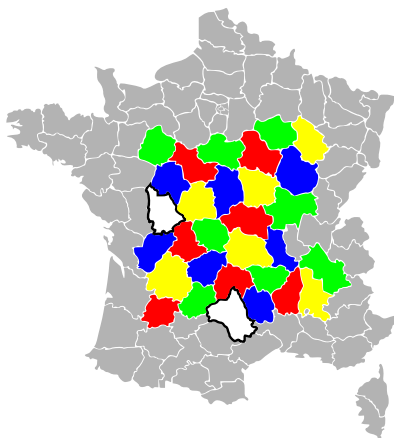
No choice for Lot, Haute-Vienne, Aube, Saône-et-Loire and Isère.

# Let's play!



Color them “for free”: it does not reduce further possibilities!

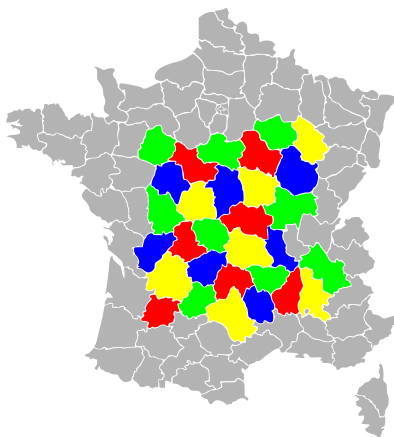
# Let's play!



No more choice for Aveyron and Vienne  $\rightsquigarrow$  color them.

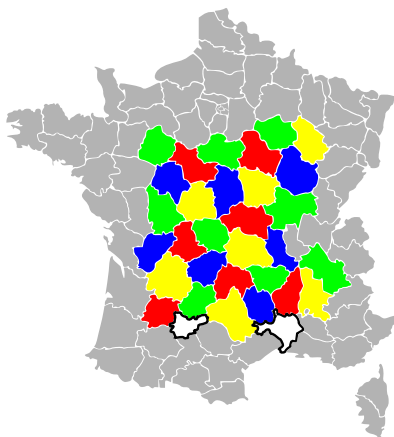


# Let's play!



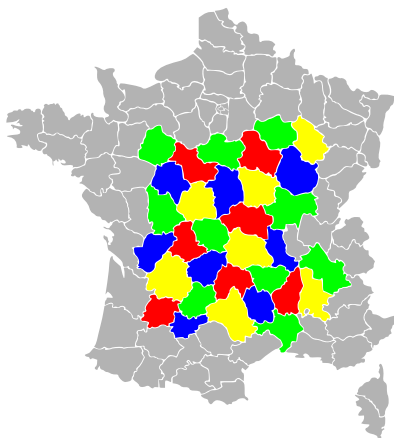
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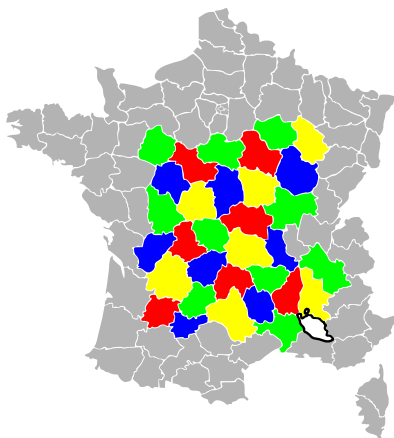
No more choice for Gard and Tarn-et-Garonne  $\rightsquigarrow$  color them.

# Let's play!



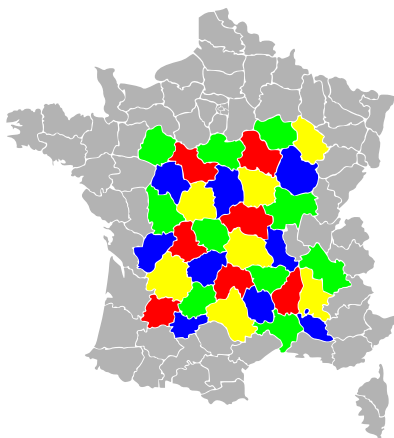
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# Let's play!



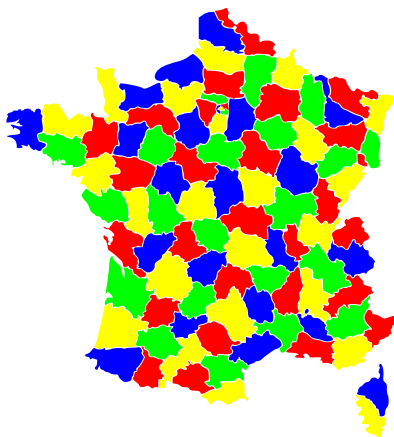
No more choice for Vaucluse  $\rightsquigarrow$  color it.

# Let's play!



At least two possible colors for each *departement*  $\rightsquigarrow$  good luck!

# Let's play!



Theory guarantees that this is possible (Appel-Haken, 1976).

# Principle

Fix a tile set  $\tau$ . Let  $A(e)$  be the number of different ways one can add a  $\tau$ -tile along a boundary edge  $e$  of some  $\tau$ -patch  $P$ .

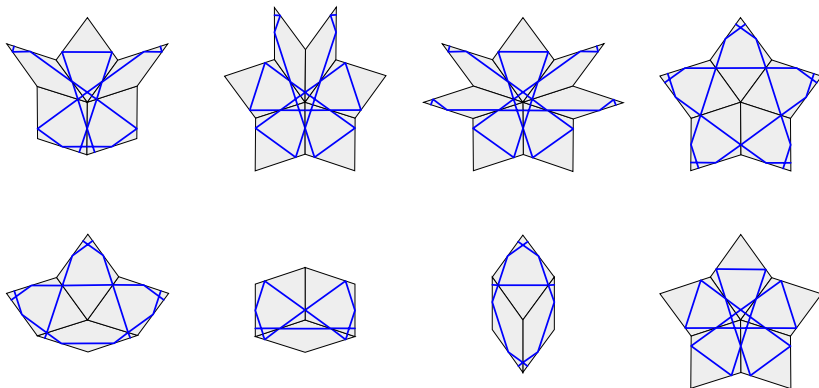
- if  $A(e) = 0$ , then  $e$  is a *dead edge* of  $P$ ;
- if  $A(e) = 1$ , then  $e$  is a *forced edge* of  $P$ ;
- if  $A(e) \geq 2$ , then  $e$  is a *free edge* of  $P$ .

Starting from a correct patch (e.g., a single tile), repeat:

- complete forced edges until obtaining a *free patch*;
- add a suitable tile, so that the patch remains correct.

How to choose suitable tiles?

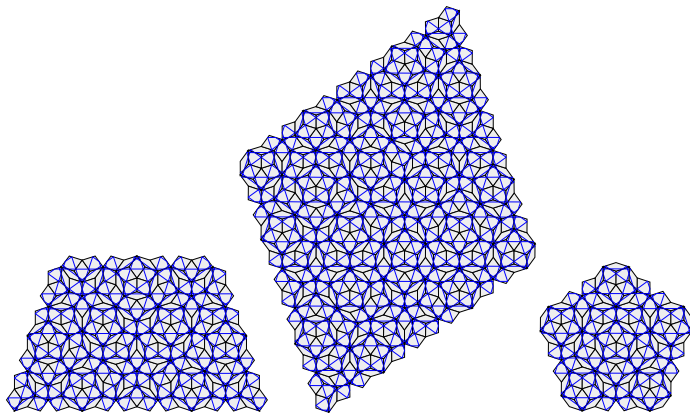
# The Penrose case: forced edges



Forced edge: only one tile s.t. endpoints match the vertex atlas.



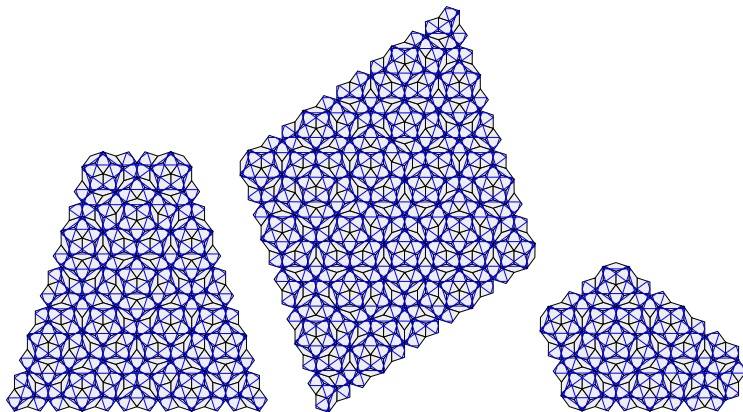
# The Penrose case: free patches



Theorem (Onoda-Steinhardt-DiVincenzo-Socolar, 1988)

*Complete classification of the free patches (via grouping/deflating).*

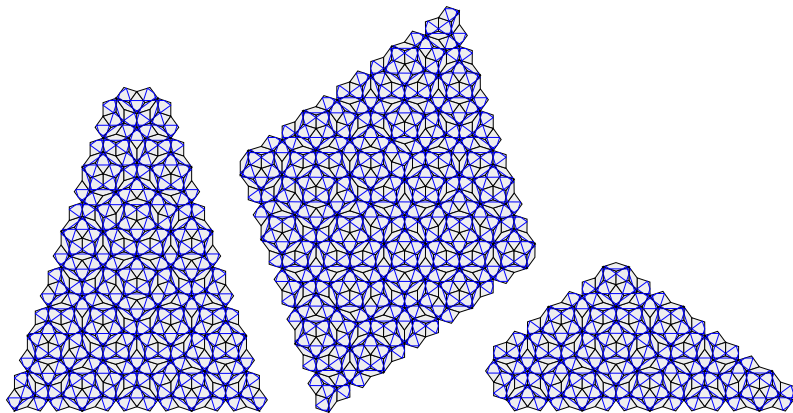
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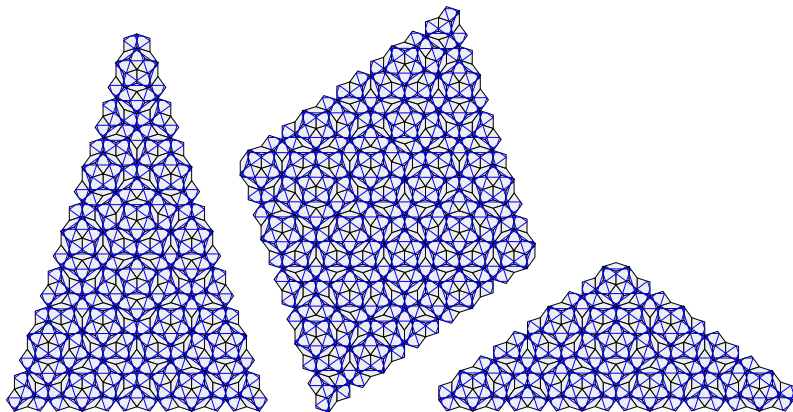
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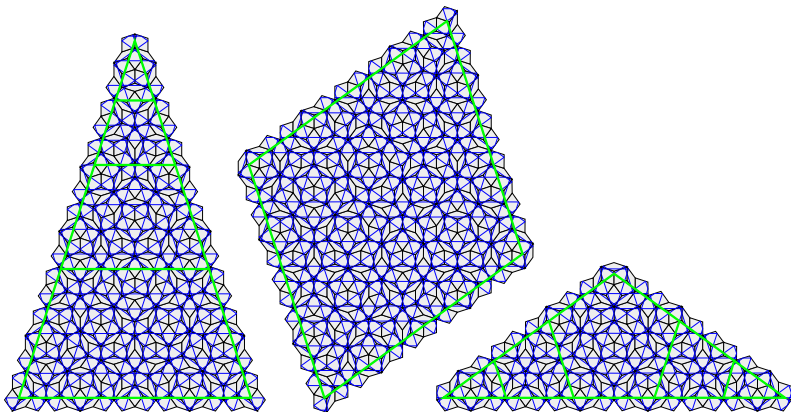
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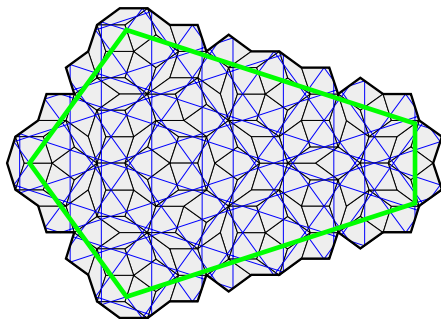
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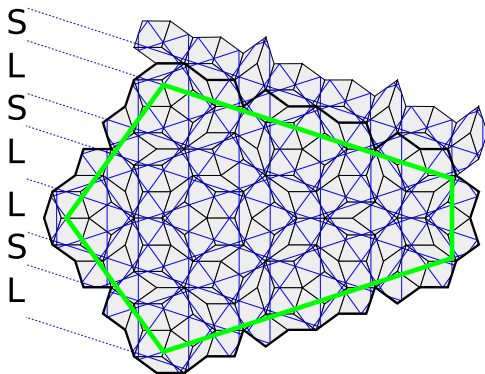
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# The Penrose case: Conway worms & Fibonacci sequences



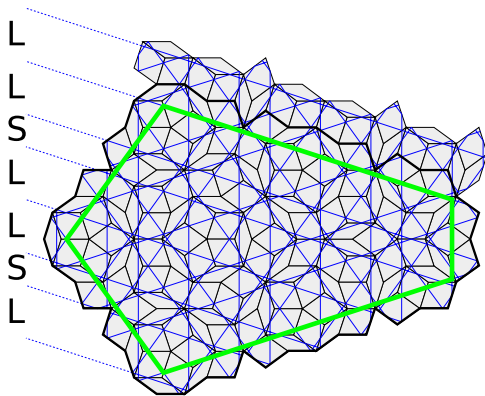
Free patches have facets directed by Ammann bars.

# The Penrose case: Conway worms & Fibonacci sequences



Along each facet can be added, in two ways, a *Conway worm*.

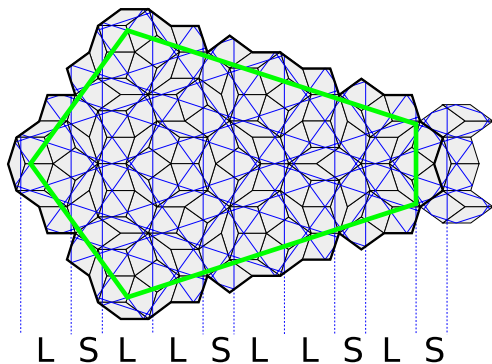
# The Penrose case: Conway worms & Fibonacci sequences



It forms a S(hort) or L(ong) space between parallel Ammann bars.

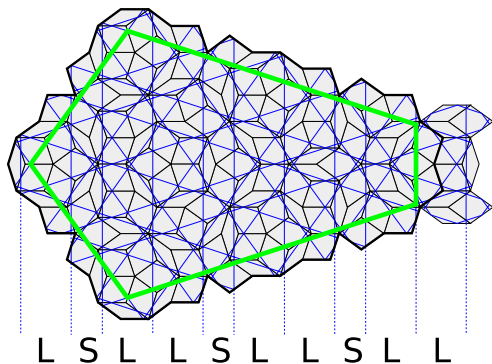


# The Penrose case: Conway worms & Fibonacci sequences



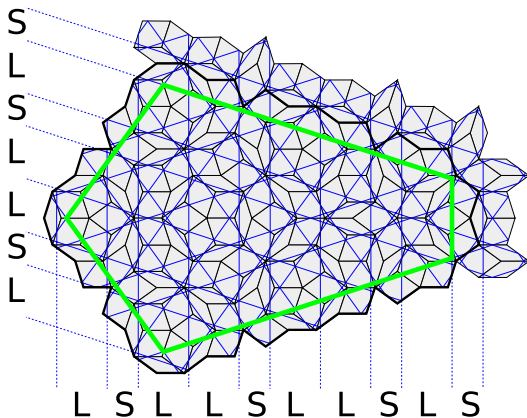
In any Penrose tiling, S and L spaces form a *Fibonacci sequence*.

# The Penrose case: Conway worms & Fibonacci sequences



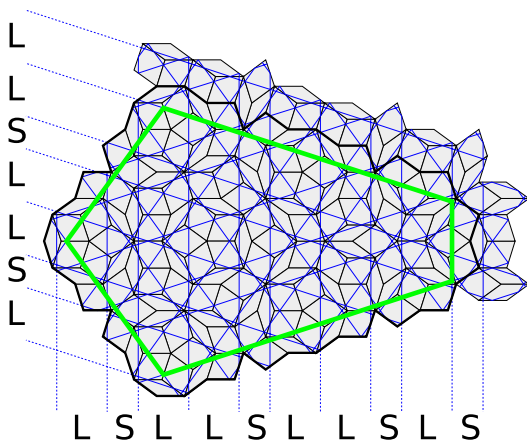
Non-local properties of this sequence can forbid one of the worms.

# The Penrose case: Conway worms & Fibonacci sequences



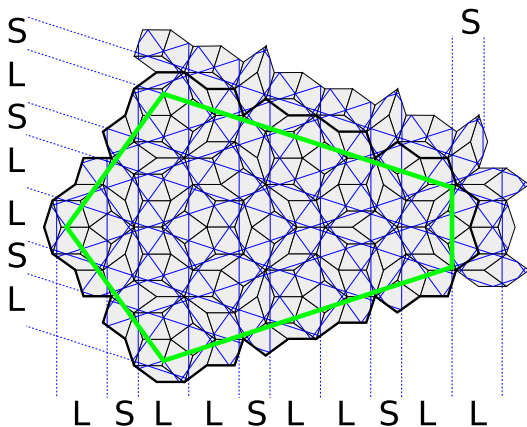
*It is remarkable that the 2D structure of Penrose tiling conspires to make this information available at the corners of dangerous faces.*

# The Penrose case: Conway worms & Fibonacci sequences



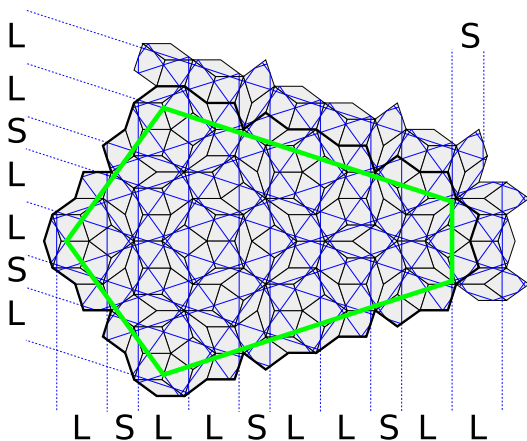
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# The Penrose case: Conway worms & Fibonacci sequences



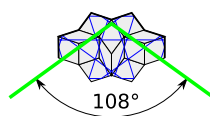
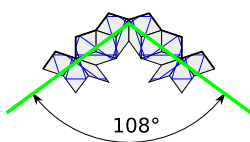
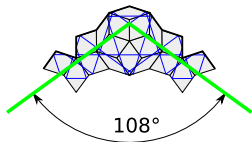
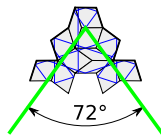
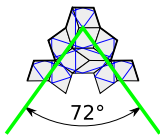
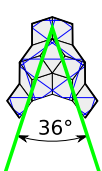
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*It is remarkable that the 2D structure of Penrose tiling conspires to make this information available at the corners of dangerous faces.*

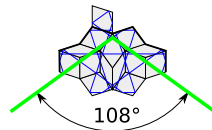
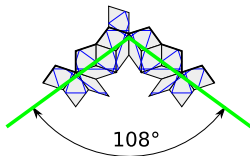
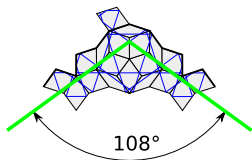
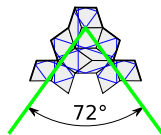
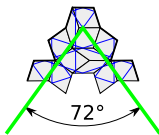
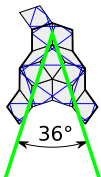
# The Penrose case: OSDS rules



**Theorem (Onoda-Steinhardt-DiVincenzo-Socolar, 1988)**

*Adding a fat tile on a  $36^\circ$  or  $108^\circ$  corner yields a correct tiling.*

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# The Penrose case: local or non-local growth?

Check if a patch is free  $\rightsquigarrow$  check each boundary edge  $\rightsquigarrow$  non-local.

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- $36^\circ$  or  $108^\circ$  corner  $\rightsquigarrow$  add a fat tile with probability  $\varepsilon > 0$ ;
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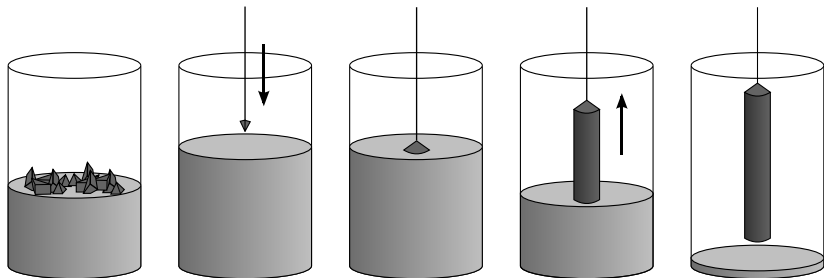
This converges to the previous process when  $\varepsilon \rightarrow 0$ .

Drawbacks:

- the growth is stucked  $\sim |\partial P|/\varepsilon$  steps on a free patch  $P$ ;
- the probability to get a dead patch increases with  $\varepsilon$ .

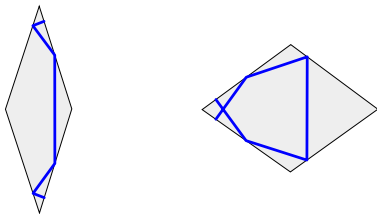
- 1 Self-assembly
- 2 Forced self-assembly
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# The Czochralski method



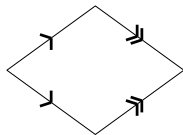
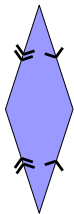
A seed initiates the growth; the crystal is pulled out while growing.

# The Penrose case: patch charge



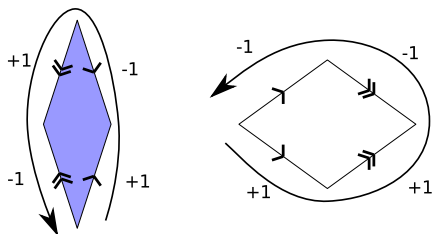
Penrose tiles can be equally decorated with Ammann bars or arrows.

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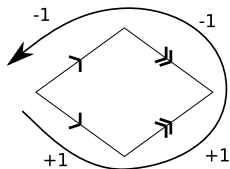
# The Penrose case: patch charge



Unit *charge* on edges  $\rightsquigarrow$  *charge* of tiles and patches (circulation).

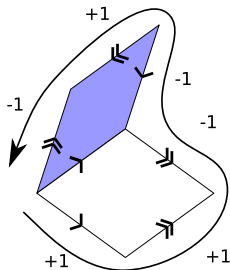


# The Penrose case: patch charge



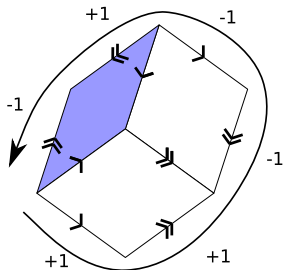
The charge of a simply connected patch is always equal to zero.

# The Penrose case: patch charge



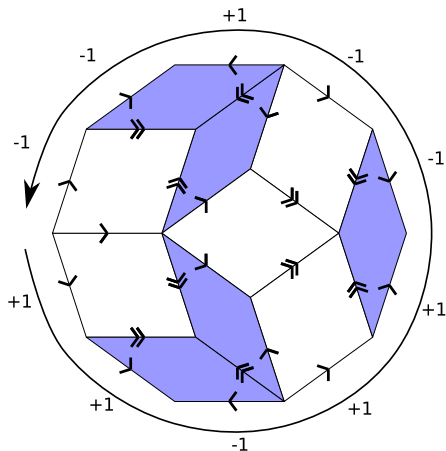
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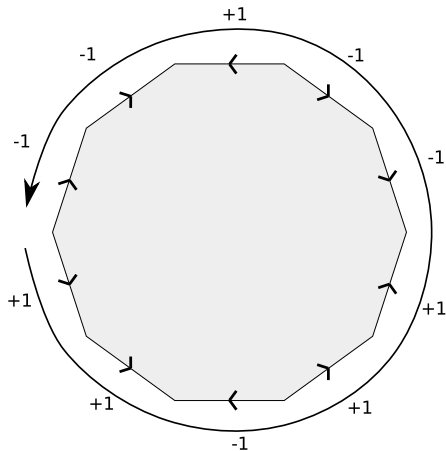
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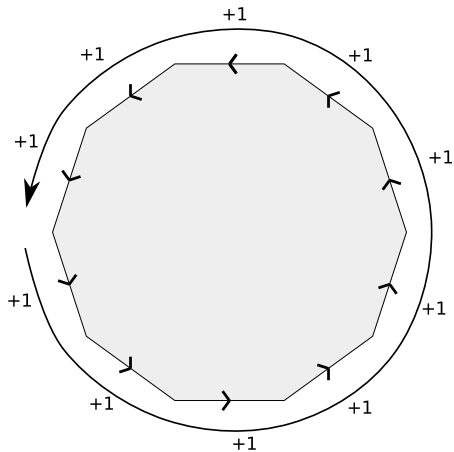
The charge of a simply connected patch is always equal to zero.

# The Penrose case: holes/defects charge



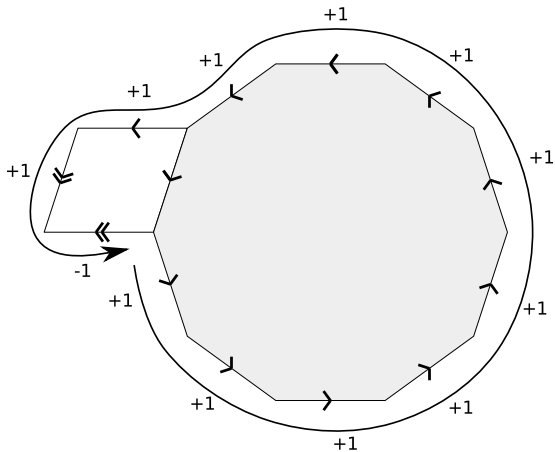
This extends to arrowed closed curves, seen as holes or *defects*.

# The Penrose case: holes/defects charge



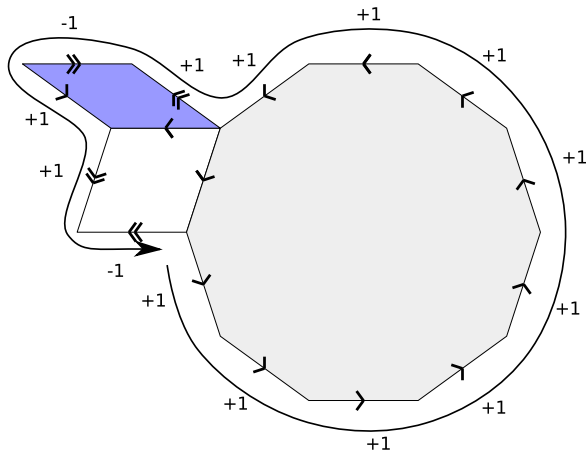
The charge of a defect can be non-zero.

# The Penrose case: holes/defects charge



Adding tiles then yields defectuous patches with the same charge.

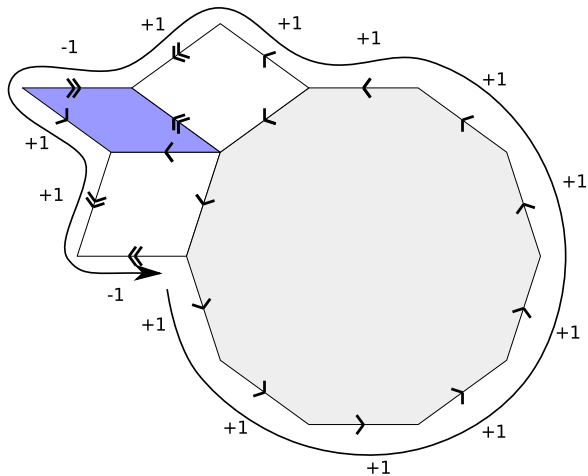
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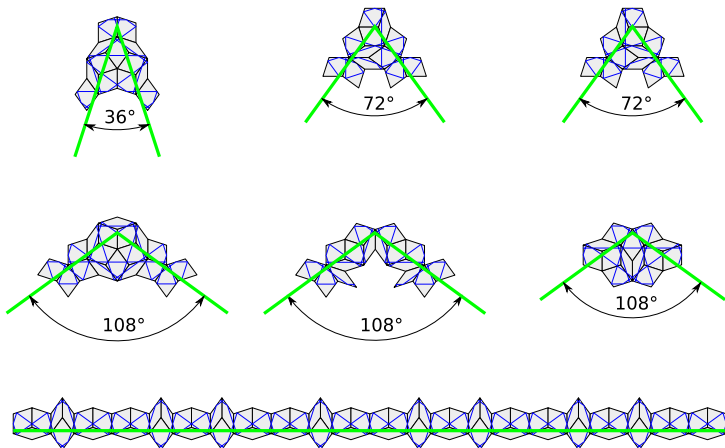


# The Penrose case: holes/defects charge



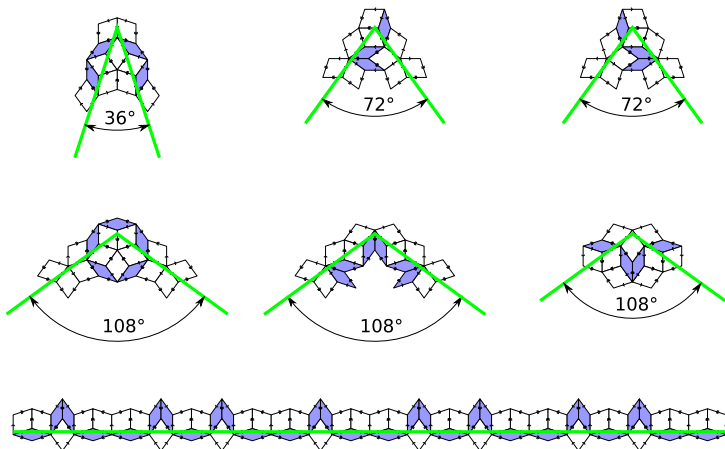
Adding tiles then yields defectuous patches with the same charge.

# The Penrose case: free patch charge



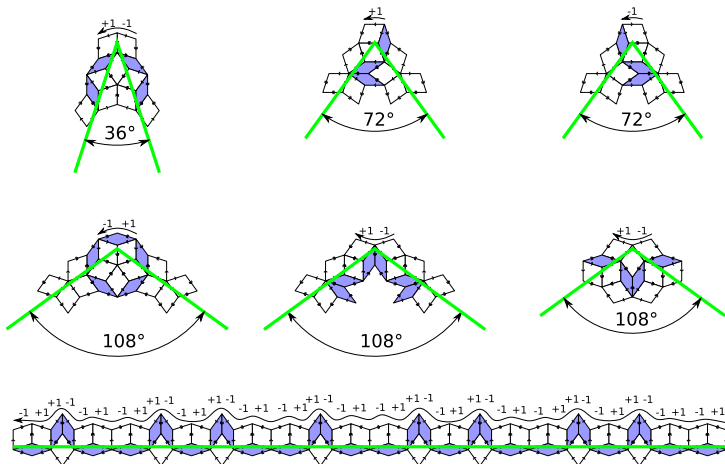
Free patch: boundary delimited by Conway worms, six corner types.

# The Penrose case: free patch charge



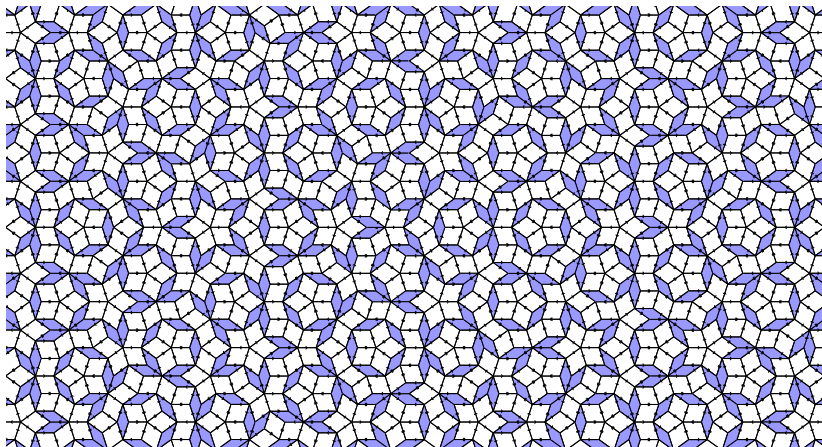
Ammann bars form a convex polygon with at most two  $72^\circ$  corners.

# The Penrose case: free patch charge



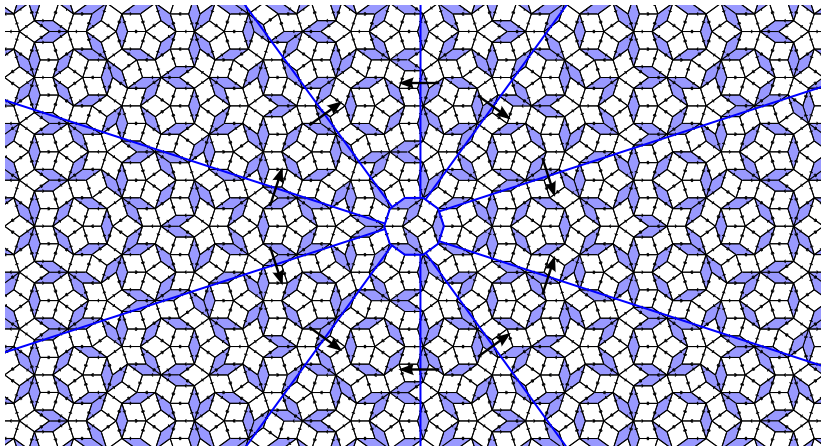
Only  $72^\circ$  corners have a non-zero charge  $\rightsquigarrow$  total charge in  $[-2, 2]$ .

# The Penrose case: the cartwheel tiling



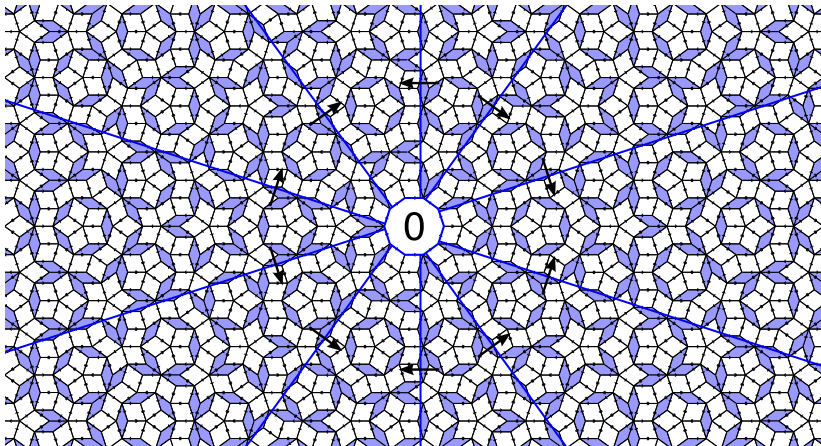
Among all the Penrose tilings, consider the so-called *cartwheel* tiling.

# The Penrose case: the cartwheel tiling



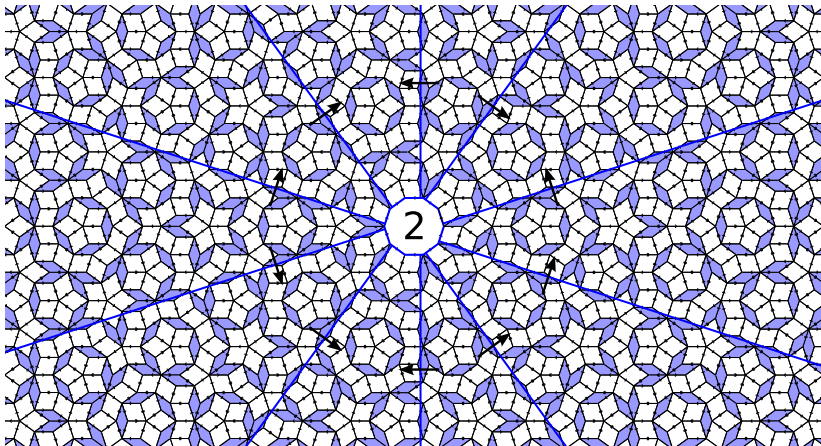
Ten semi-infinite Conway worms radiate out from a central *decapod*.

# The Penrose case: the cartwheel tiling



Removing this decapod yields a hole whose charge is equal to zero.

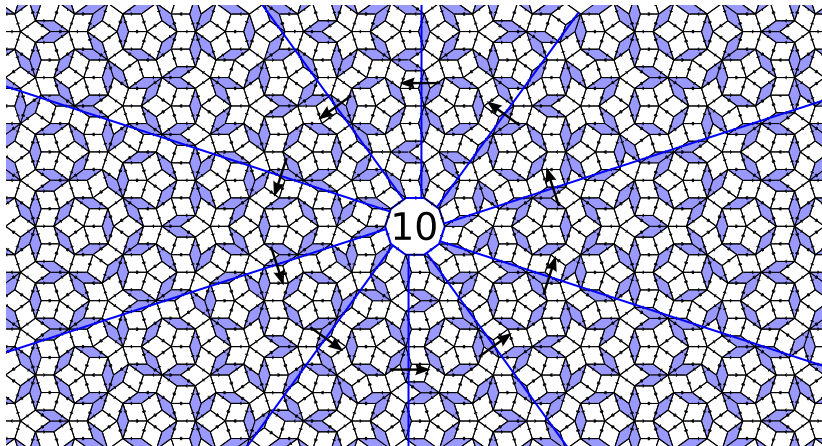
# The Penrose case: the cartwheel tiling



By flipping a semi-infinite Conway worm, this charge changes by  $\pm 2$ .



# The Penrose case: the cartwheel tiling



This yields some correct holes which cannot belong to a free patch.

## Some comments

This shows that a suitable seed allows to easily grow a tiling which matches almost everywhere with a Penrose tiling ( $\rightsquigarrow$  non-periodic).

Can this be generalized to other tilings by aperiodic tile sets?

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This shows that a suitable seed allows to easily grow a tiling which matches almost everywhere with a Penrose tiling ( $\rightsquigarrow$  non-periodic).

Can this be generalized to other tilings by aperiodic tile sets?

But remind the completion problem: it is very easy to find a tile set which is aperiodic once a tile is forced (exercice: find yours!).

$\rightsquigarrow$  in a certain sense, growing a tiling from a seed is “cheating” . . .

- 1 Self-assembly
- 2 Forced self-assembly
- 3 Defects as seeds
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# Principle

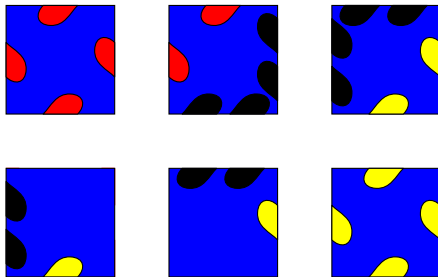
Assign **weights** to tile edges; introduce a **temperature** parameter.

A tile can be added to a patch iff the **sum** of weights of its edges which match edges of the patch is greater than the temperature.

↔ yields some control on the **order** tiles are added.

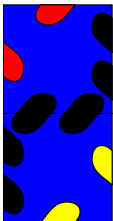
Can some non-periodic tilings be grown in this framework?

# A simple example (Becker-Rémila-Schabanel)



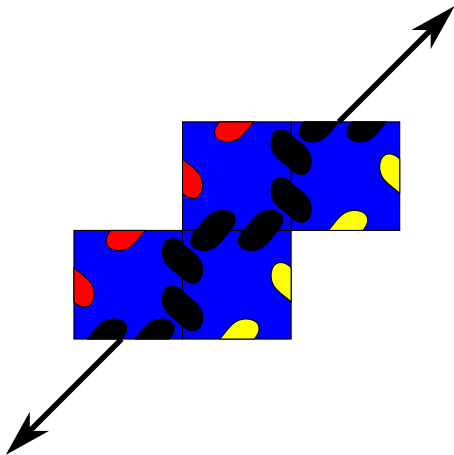
Weight: number of colored disc. Temperature: 2. Only translations.

# A simple example (Becker-Rémila-Schabanel)



Initially: tiles can be glued only along weight 2 black edges.

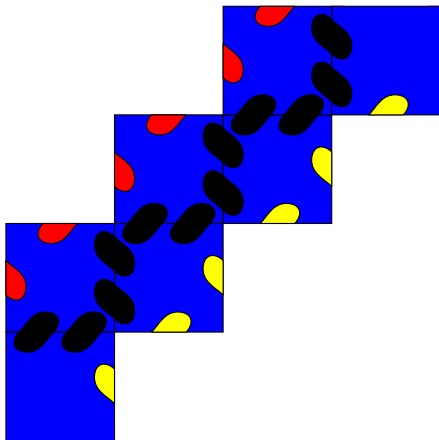
# A simple example (Becker-Rémila-Schabanel)



A diagonal of arbitrary length can then be grown.

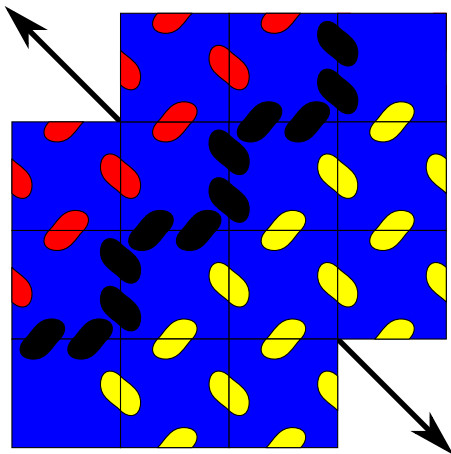


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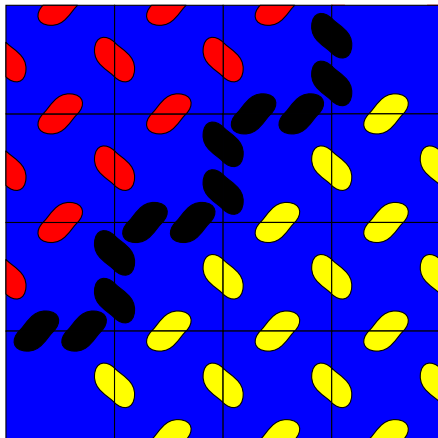
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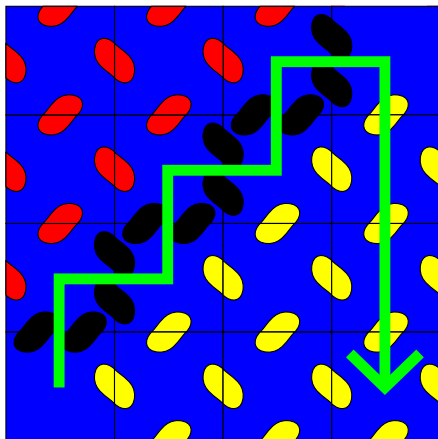
On the same time, red or yellow tiles can be added.

# A simple example (Becker-Rémila-Schabanel)






This forces a square whose size  $n \times n$  is determined by the diagonal.

# A simple example (Becker-Rémila-Schabanel)



As many as possible tiles at each step  $\rightsquigarrow$  assembly time  $O(3n - 2)$ .

Some references for this lecture:

-  Joshua Socolar, *Growth rules for quasicrystals*, in *Quasicrystals: The State of the Art*, 1991.
-  Steven Dworkin, Jiunn-I Shieh, *Deceptions in quasicrystal growth*, *Commun. Math. Phys.* **128** (1995).
-  Florent Becker, Éric Rémila, Nicolas Schabanel, *Time optimal self-assembly for 2D and 3D shapes: the case of squares and cubes*, in *proc. DNA'08* (2008).

These slides and the above references can be found there:

`http://www.lif.univ-mrs.fr/~fernique/qc/`